



1-11-02

**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or divisional applications under 37 CFR 1.53(d))

CPA  
2700  
CHECK BOX, if applicable  
☐ DUPLICATE  
112310E

Attorney Docket No.: 2933SE-83

Inventors: Yasuki Rai of 1097-7, Ikejiri-cho, Ogaki-shi, Gifu-ken 503-2204 Japan  
Hisao Uehara of 1-4-1, Mangoku, Ogaki-shi, Gifu-ken 503-0812 Japan  
Makoto Shimizu of 1-11-1-1001, Kanouhongoku-cho, Gifu-shi, Gifu-ken 500-8466 Japan  
Makoto Kitagawa of 615-24, Shimojyuku, Sunomata-cho, Anpachi-gun, Gifu-ken 501-0104 Japan  
Yusuke Tsutsui of 7-35, Hirakata, Fukuju-cho, Hashima-shi, Gifu-ken 501-6257 Japan  
Takeo Yoshimura of 180, Ohmori, Anpachi-cho, Anpachi-gun, Gifu-ken 503-0116 Japan

Group Art Unit: 2675

Examiner: A. Awad

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01/22/2002 GTEFFERA 00000061 09344629 Technology Center 260  
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JAN 22 2002

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

This is a request for a [X] Continuation application under 37 C.F.R. § 1.53(d), (continued prosecution application (CPA)) of prior application number 09/344,629 filed on June 25, 1999, entitled "LIQUID CRYSTAL DISPLAY APPARATUS HAVING LIGHT COLLECTING MECHANISM".

Enclosed for filing with the above-identified utility patent application, please find the following:

- ✓ 1. [X] Request to enter the unentered amendment previously filed on October 15, 2001, a copy of which is attached hereto.
2. [X] Petition for Extension of Time
3. [X] Return Postcard (MPEP 503) (should be specifically itemized)

**FEE CALCULATION:**

	(COL. 1) NO. FILED				SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$370.00	OR		\$740.00
TOTAL CLAIMS:	24	-	29	-5	X \$9 =		OR	X \$18 =	
INDEP. CLAIMS:	10	-	10	0	X \$42 =		OR	X \$84 =	\$0.00
MULTIPLE DEPENDENT CLAIMS					+ \$140 =		OR	+ \$280 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.					TOTAL:				\$740.00

**OTHER INFORMATION:**

1. The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to Deposit Account No. 19-1970.
- a. [X] Fees required under 37 C.F.R. § 1.16.
- b. [X] Fees required under 37 C.F.R. § 1.17.
2. [X] A check in the amount of \$1,660.00 is enclosed.

3. ☒ Other: Petition for Three-Month Extension of Time, Fee included in check amount above.

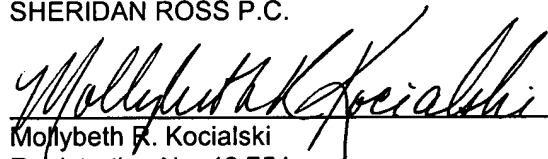
4. Correspondence Address:

Mollybeth R. Kocalski  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223

6. ☒ Customer No: **22442**

Respectfully Submitted,

SHERIDAN ROSS P.C.



Mollybeth R. Kocalski  
Registration No. 42,754

Date: Jan. 9, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 22 2002

Technology Center 2600

In Re the Application of:

RAI et al.

Serial No.: 09/344,629

Filed: June 25, 1999

Atty. File No.: 2933SE-83

For: "LIQUID CRYSTAL DISPLAY  
APPARATUS HAVING LIGHT  
COLLECTING MECHANISM"

Commissioner of Patents  
Washington, D.C. 20231

) Group Art Unit: 2675

) Examiner: A. Awad

) REQUEST TO ENTER AMENDMENT

"EXPRESS MAIL" MAILING LABEL NUMBER: EL767780259 US  
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PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Jennie R. Hane  
SIGNATURE: 

Dear Sir:

Applicants, through their attorneys, respectfully request that, as part of the continuation prosecution application transmittal filed concurrently herewith, the amendment filed by Applicants on October 15, 2001 be entered and considered. A copy of the amendment is attached hereto and incorporated herein by reference.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Mollybeth R. Kocialski  
Registration No. 42,754  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: Jan. 9, 2002

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## AMENDMENT TRANSMITTAL

PATENT APPLICATIONS

CASE DOCKET NO. 2933SE-83

IN RE THE APPLICATION OF: RAI et al.

SERIAL NO: 09/344,628

DATE FILED: June 25, 1999

FOR: "LIQUID CRYSTAL DISPLAY APPARATUS HAVING LIGHT COLLECTING MECHANISM"

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

TRANSMITTED HERewith IS AN AMENDMENT IN THE ABOVE-IDENTIFIED APPLICATION

- ☐ SMALL ENTITY STATUS OF THIS APPLICATION UNDER 37 CFR 1.9 AND 1.27 HAS BEEN ESTABLISHED BY A VERIFIED STATEMENT PREVIOUSLY SUBMITTED.
- ☐ A VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS UNDER 37 CFR 1.9 AND 1.27 IS ENCLOSED.
- ☐ NO ADDITIONAL FEE IS REQUIRED.

THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

	(COL. 1) CLAIMS REMAINING AFTER AMENDMENT	(COL. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(COL. 3) PRESENT EXTRA	SMALL ENTITY			LARGE ENTITY	
				RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
TOTAL:	* 24	- ** 29	0	X \$9 =		OR	X \$18 =	\$0.00
INDEP:	10	- *** 4	6	X \$42 =		OR	X \$82 =	\$492.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS				+ \$140 =		OR	+ \$280 =	
ADDITIONAL FEES				TOTAL:		OR	TOTAL:	\$492.00

\*IF THE ENTRY IN COL. 1 IS LESS THAN THE ENTRY IN COL. 2, WRITE "0" IN COL. 3.

\*\*IF THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" IN THIS SPACE IS LESS THAN 20, WRITE "20" IN THIS SPACE.

\*\*\*IF THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" IN THIS SPACE IS LESS THAN 3, WRITE "3" IN THIS SPACE.

THE "HIGHEST NUMBER PREVIOUSLY PAID FOR" (TOTAL OR INDEPENDENT) IS THE HIGHEST NUMBER FOUND FROM THE EQUIVALENT BOX IN COL. 1 OF A PRIOR AMENDMENT OR THE NUMBER OF CLAIMS ORIGINALLY FILED.

- ☐ PLEASE CHARGE DEPOSIT ACCOUNT NO. 19-1970 IN THE AMOUNT OF \$\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☒ A CHECK IN THE AMOUNT OF \$ 492 TO COVER THE FEE IS ENCLOSED.
- ☒ THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE PAYMENT OF THE FOLLOWING FEES ASSOCIATED WITH THIS COMMUNICATION OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 19-1970. A DUPLICATE COPY OF THIS SHEET IS ENCLOSED.
- ☒ ANY FILING FEES UNDER 37 CFR 1.16 FOR THE PRESENTATION OF EXTRA CLAIMS.
- ☒ ANY PATENT APPLICATION PROCESSING FEES UNDER 37 CFR 1.17.

RESPECTFULLY SUBMITTED,

SHERIDAN ROSS P.C.

*Mollybeth R. Kocalski*  
Mollybeth R. Kocalski  
Registration No. 42,754

EXPRESS MAIL® MAILING LABEL NUMBER: EL767780228US  
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WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: Jennie R. Hamel

SIGNATURE: *Jennie R. Hamel*